The Exchange does not warrant and holds no responsibility for the veracity of the facts and representations contained in all corporate disclosures, including financial reports. All data contained herein are prepared and submitted by the disclosing party to the Exchange, and are disseminated solely for purposes of information. Any questions on the data contained herein should be addressed directly to the Corporate Information Officer of the disclosing party.



# Petron Corporation PCOR

### PSE Disclosure Form 17-7 - Statement of Changes in Beneficial Ownership of Securities References: SRC Rule 23 and Section 17.5 of the Revised Disclosure Rules

ш		
	Name of Reporting Person	Various
	Relationship of Reporting Person to Issuer	Various

#### **Description of the Disclosure**

Further to our disclosure dated November 4, 2019, please see attached letter dated November 26, 2019, together with the SEC Form 23-Bs of director and certain officers of the Company as filed with the Securities and Exchange Commission on November 25, 2019 on the redemption of their respective Series 2A Preferred Shares on November 4, 2019.

#### Filed on behalf by:

Name	Jhoanna Jasmine Javier-Elacio
Designation	Legal Manager and Assistant Corporate Secretary



#### November 26, 2019

### PHILIPPINE STOCK EXCHANGE, INC.

Disclosure Department 6<sup>th</sup> Floor, PSE Tower 5<sup>th</sup> Avenue corner 28<sup>th</sup> Street Bonifacio Global City, Taguig City

Attention: Ms.Janet A. Encarnacion

Head, Disclosure Department

#### PHILIPPINE DEALING & EXCHANGE CORP.

29<sup>th</sup> Floor, BDO Equitable Tower 8751 Paseo de Roxas Makati City 1226

Attention: Atty. Marie Rose M. Magallen-Lirio

Head - Issuer Compliance and Disclosure Department

#### Gentlemen:

Please see attached SEC Form 23-Bs (Statement of Changes in Beneficial Ownership of Securities) of the following director and officers of the Company as filed with the Securities and Exchange Commission on November 25, 2019 on the redemption of their respective Series 2A Preferred Shares on November 4, 2019:

	Name	Position
1.	Lubin B. Nepomuceno	Director/General Manager
2.	Emmanuel E. Eraña	SVP & Chief Finance Officer
3.	Freddie P. Yumang	SVP, Operations for Refinery Division
4.	Susan Y. Yu	VP, Procurement
5.	Ma. Rowena O. Cortez	VP, Supply
6.	Albertito S. Sarte	VP and Treasurer
7.	Joel Angelo C. Cruz	VP - General Counsel & Corporate
		Secretary/Compliance Officer
8.	Myrna C. Geronimo	AVP and Controller
9.	Noel S. Ventigan	AVP, Metro Manila Operations & Manufacturing
10.	Terelu O. Carrillo	AVP, Petron Singapore
11.	Jhoanna Jasmine M. Javier-Elacio	Assistant Corporate Secretary

Very truly yours,

JOEL ANGELO C. CRUZ VP - General Counsel & Corporate Secretary



11252019001433



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Department

Company Information

SEC Registration No. 0000031171

Company Name PETRON CORP.

Industry Classification Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type Stock Corporation

#### **Document Information**

Document ID 111252019001433

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered November 14, 2019

No. of Days Late 0

Remarks REPORTING PERSON

CFD

NEPOMUCENO, LUBIN BUSTOS

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#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines



Check box if no longer subject

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person     NEPOMUCENO, LUBIN BUSTOS	2. Issuer Name and T	orading Symbol	PCOR)		7. Relationship of Reporting Person to Issuer (Check all applicable)						
(Last) (First) (Middle)  27 Ryder Loop, Ayala Hillside Estate	3. Tax Identification  Number  119-165-673	3	5. Statement for Month/Year Novemb	er 2019	<u>X</u>	Director Officer (give title below	)	10% Owner Other (specify below)			
(Street) Old Balara, Quezon City	4. Citizenship Filipino		If Amendment, Date of Original (MonthYear)			General Mana	ger				
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Ber	neficially Owned					
1. Class of Equity Security	Transaction     Date     (Month/Day/Year)	4. Securities Acquired (A) or PETRON CORF		N	3. Amount of Month	Securities Owned at End of Number of Shares	of 4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership			
PR EFERRED SERIES A	11/4/2019*	P2,500,000.00	(A) or (D)	Price P1,000.00		2,500	I				
				100							
* Preferred Shares Series 2A (PRF2A) were rede Company at P1,000 per share on November 4, 201											

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminuder. Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security

Explanation of Responses:

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date     (Month/Day/Yr)	Number of Deriva     Acquired (A) or D		5 Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
			4									

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1\_ Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

Signature of Reporting Person)

**LUBIN B. NEPOMUCENO** 





### SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, PhilippinesTel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Representative

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Company Information

SEC Registration No. 0000031171

PETRON CORP. Company Name

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains Industry Classification

Stock Corporation Company Type

**Document Information** 

Document ID 111252019001446

Statement of Changes in the Beneficial Ownership Document Type

**Document Code** 

Period Covered November 04, 2019

No. of Days Late

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Department Remarks

REPORTING PERSON

ERAÑA, EMMANUEL ESPIRITU

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JOEL ANGELO C. CRUZ  Contact Person  Company  SEC FORM 23-B  (Redemption of Series 2A Preferred Shares)  FORM TYPE  Certificates of Permit to Offer Securities for Sale dated 1994, 1995, 1996, 2010, 2014, 2016  Secondary License Type, if Applicable  To be accomplished by SEC Personnel concerned  To be accomplished by SEC Personnel concerned	PETRON  CORPORATION  (Company's Full Name)  (Redemption of Series Company Telestrian Company Te	PETRON  CORPORATION  (Company's Full Name)  (Company's Full Name)  (Company's Full Name)  (Company's Full Name)  MCHEADON GCORD  (Company's Full Name)  MCHEADON GCOMPLEX  (Company's Full Name)  (Company's Full Name)  MCHEADON GCOMPLEX  (Business Adress: No. Street City / Town / Province)  ATTY. JOEL ANGELO C. CRUZ  Contact Person  SEC FORM 23-B  (Redemption of Series 2A Preferred Shares)  FORM TYPE  Certificates of Permit to Offer Securities for Sale dated 1994, 1995, 1996, 2010, 2014, 2016, 2018 and Secondary License Type. if Applicable  Amended Articles Number Secondary License Type. if Applicable  To be accomplished by SEC Personnel concerned  Fiscal Numer  LCU	S. E. C. Registratic    P   E   T   R   O   N	C O R P O R A T I O N  (Company's Full Name)  (Business Adress: No. Street City / Town / Province)  ATTY. JOEL ANGELO C. CRUZ  Contact Person  SEC FORM 23-B  (Redemption of Series 2A Preferred Shares)  FORM TYPE  Annuh  (Certificates of Permit to Offer Securities for Sale dated 1994, 1995, 1996, 2010, 2014, 2016, 2018 and 2019  Secondary License Type, if Applicable  Amended Articles Number/Sec  To be accomplished by SEC Personnel concerned  To be accomplished by SEC Personnel concerned	S E C. Registration Number  S E C. Registration Number  C O R P O R A T I O N  (Company's Full Name)  M C H E A D O F F I C E C O M P L E X 4 0 S  I G U E L A V E. M A N D A L U Y O N G C I T Y  (Business Adress: No. Street City / Town / Province)  ATTY. JOEL ANGELO C. CRUZ  Contact Person  SEC FORM 23-B  (Redemption of Series 2A Preferred Shares)  FORM TYPE  FORM TYPE  Annual M  Total Annuar of Dect Outstanding: P291,110 Million (as of September 30, 2019)  To be accomplished by SEC Personnel concerned  Fiscal Numer  LCU	S.E.C. Registration Number  S.E.C. Registration Number  S.E.C. Registration Number  C. O. R. P. O. R. A. T. I. O. N.  (Company's Full Name)  (Company's Full Name)  M. C. H. E. A. D. O. F. F. I. C. E. C. O. M. P. L. E. X. 4. 0. S. A.  I. G. U. E. L. A. V. E. M. A. N. D. A. L. U. Y. O. N. G. C. I. T. Y.  (Business Adress: No. Street City / Town / Province)  ATTY. JOEL ANGELO C. CRUZ  Contact Person  Company Telephone Number  SEC FORM 23-B  (Redemption of Series 2A Preferred Shares)  FORM TYPE  Annual Meetin (for 2019)  Certificates of Permit to Offer Securities for Sale dated 1994, 1995, 1995, 2010, 2014, 2016, 2018 and 2019  Secondary License Type, if Applicable  N/A  Amended Articles Number/Section  Total Amount of Dect Outstanding P291 110 Million (as of September 30, 2019-COM)  To be accomplished by SEC Personnel concerned

Remarks = pls. use black ink for scanning purposes

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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Check box if no longer subject to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person  ERAÑA, EMMANUEL ESPIRITU	2. Issuer Name and T	rading Symbol ORPORATION (	PCOR)		Relationship of Reporting Person to Issuer     (Check all applicable)								
(Last) (First) (Middle) Unit 509, Tower Podium, Grove Rockwell	3. Tax Identification Number 119-133-340	6	5. Statement for Month/Year Novemb	er 2019	<u>x</u>	Other (specify below)							
(Street) Brgy. Ugong, Pasig City	4. Citizenship Filipino		If Amendment     Original (Mont)		Senior Vice President & Chief Finance Officer								
(City) (Province) (Postal Code)			2	Table 1 - Equity	Securities Be	eneficially Owned							
Class of Equity Security	Transaction     Date     (Month/Day/Year)	4. Securities Acquired (A) or PETRON CORI		N	3. Amount of Month %	Securities Owned at End of Number of Shares	of 4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership					
	(Worth Day rear)	Amount	(A) or (D)	Price		110110011111111111111111111111111111111							
PREFERRED SERIES 2A	11/4/2019*	P2,000,000.00	D	P1,000.00		2,000	1						
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* Preferred Shares Series 2A (PRF2A) were rede Company at P1,000 per share on November 4, 201													

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (8) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is: (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;

  - (C) held by a corporation of which such person is a controlling shareholder; or (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

#### FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Derivative Security	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derival Acquired (A) or Di		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
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Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person:
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

EMMANUEL E. ERAÑA



111252019001458



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### **Barcode Page**

The following document has been received:

Receiving Officer/Encoder : Mark Jason L. Orcine - COS

Receiving Branch : SEC Head Office

Receipt Date and Time: November 25, 2019 03:02:38 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No.

Company Name

PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

0000031171

Company Type

Stock Corporation

#### **Document Information**

Document ID 111252019001458

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

November 04, 2019

No. of Days Late

0

Department

CFD

Remarks

REPORTING PERSON

YUMANG, FREDDIE PALAD

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## SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines



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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person YUMANG, FREDDIE PALAD	2. Issuer Name and T	rading Symbol ORPORATION (	PCOR)		7. Relationship	of Reporting Person to Issue	(Check all applicable)	
(Last) (First) (Middle)  No. 32 Petron Bataan Refinery Housing Compound	3. Tax Identification Number 120-139-654	4	5. Statement for Month/Year Novemb	er 2019	<u>_x</u>	Officer (give title below)		Other (specify below)
(Street) Limay, Bataan	4. Citizenship Filipino		6. If Amendment Original (Monti		Seni	or Vice Presiden	t, Operations for	Refinery Division
(City) (Province) (Postal Code)				Table 1 - Equity	y Securities Be	eneficially Owned		
Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) or PETRON CORF		N	Month	Securities Owned at End of Number of Shares	of 4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	%	Number of Shares		
PREFERRED SERIES 2A	11/4/2019*	P3,000,000.00	D	P1,000.00		3,000		
* Preferred Shares Series 2A (PRF2A) were redeemed.	ed by the							

(Print or Type Responses)

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Reminctier: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

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Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date     (Month/Day/Yr)	Number of Deriva     Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	End of Month	or Indirect (I)	
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Explanation of Responses:

Note File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

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- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
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- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

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- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
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- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

(Signature of Reporting Person)

FREDDIE P. YUMANG



11252019001464



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Receipt Date and Time: November 25, 2019 03:04:02 PM

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Company Representative

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Company Information

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SEC Registration No.

0000031171

Company Name

PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

#### **Document Information**

Document ID

111252019001464

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

November 04, 2019

No. of Days Late

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Department

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Remarks

REPORTING PERSON YU,SUSAN YOUNG

# COVERSHEET

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## SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

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to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relationship	p of Reporting Person to Issu	er	
YU, SUSAN YOUNG	PETRON C	ORPORATION (P	COR)				(Check all applicable)	
(Last) (First) (Middle) Room 1113-1114 Benavides Garden,	Tax Identification     Number		5. Statement for Month/Year		x	Director Officer		Other
1198 Benavides Street	911-625-92	3	Novemb	er 2019		(give title below	)	(specify below)
(Street)	4. Citizenship		6. If Amendment Original (Monti	100 C 100 C		Vice President,	Procurement	
Sta. Cruz, Manila	Filipino							
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Ber	neficially Owned		
Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) or D PETRON CORP			3. Amount of	Securities Owned at End	of 4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	%	Number of Shares		
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			-					

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Remainder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
- (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;

\* Preferred Shares Series 2A (PRF2A) were redeemed by the

Company at P1,000 per share on November 4, 2019.

- (C) held by a corporation of which such person is a controlling shareholder, or
- (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

lamation of Responses:

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

1erivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date     (Month/Day/Yr)	Number of Deriva Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8, No. of Derivative Securities Beneficially Owned at	9 Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or indirect (i)	

NI COSTO	File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Iter 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Iter 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Ite \_\_\_\_\_ 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

(Signature of Reporting Person)

SUSAN Y. YU



11252019001477



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

#### **Barcode Page**

The following document has been received:

Receiving Officer/Encoder : Mark Jason L. Orcine - COS

Receiving Branch : SEC Head Office

Receipt Date and Time: November 25, 2019 03:05:15 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. 0000031171

Company Name

PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

#### **Document Information**

Document ID

111252019001477

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

November 04, 2019

No. of Days Late

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Department

CFD

Remarks

REPORTING PERSON

CORTEZ, MA.ROWENA ONG

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#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines



to filing requirement

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relationshi	o of Reporting Person to Issu	er	
CORTEZ, MA. ROWENA ONG	PETRON C	ORPORATION	(PCOR)				(Check all applicable)	
(Eirst) (Middle) 8 Fiddlewood Street, Greenwoods Executive Village	3. Tax Identification  Number  120-134-821	ı	5. Statement for Month/Year Novemb		<u>_x</u>	Officer (give title below	)	Other (specify below)
(Street)	4. Citizenship Filipino		If Amendmen     Original (Mont			Vice President,	Supply	
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Be	neficially Owned		
1. Class of Equity Security	2 Transaction Date (Month/Day/Year)	Securities Acquired (A) or PETRON COR		N	3. Amount of Month %	Securities Owned at End of Number of Shares	f 4 Ownership Form; Direct (D) or Indirect (I) *	6, Nature of Indirect Beneficial Ownership
PREFERRED SERIES 2A	11/4/2019*	P600,000.00	(A) or (D)	Price P1,000.00		600	1	
			102 P					
* Preferred Shares Series 2A (PRF2A) were rede Company at P1,000 per share on November 4, 201								

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner.
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Derivative Security	Conversion or Exercise Price of Derivative Security	Transaction     Date     (Month/Day/Yr)	Number of Deriva    Acquired (A) or Di		5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) ^	

Explanation of Responses:	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

#### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

#### Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

Signature of Reporting Person

MA. ROWENA O. CORTEZ



11252019001485



## SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

#### **Barcode Page**

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Receiving Branch : SEC Head Office

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No.

Company Name

0000031171

PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

#### **Document Information**

Document ID

111252019001485

Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

November 04, 2019

No. of Days Late

0

Department

CFD

Remarks

REPORTING PERSON

SARTE, ALBERTITO SARDALLA

# COVERSHEET

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FORM 23-B

REVISED

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Check box if mo longer subject Filed pursuant to Section 23 of the Securities Regulation Code to filing requirement

1. Name and Address of Reporting Person SARTE, ALBERTITO SARDALLA	7. Relationship	of Reporting Person to	o Issuer	(Check all applicable)					
SARTE, ALBERTITO SARDALLA	FLIKONO	ORPORATION (P	COIN					(Check all applicable)	
(Last) (First) (Middle)	3. Tax Identification		5. Statement for			Director			10% Owner
23 Hon. Gregorio Roxas Street	Number		Month/Year		_ <u>X</u>	Officer			Other
	119-186-28	6	Novemb	er 2019		(give title	below)		(specify below)
(Street)	4. Citizenship		6 If Amendment Original (Monti	10.0		Vice Preside	ent and Trea	asurer	
Quezon City	Filipino		Original (Worth	rrear)					
(City) (Province) (Postal Code)				Table 1 -	Equity Secu	rities Beneficially Ov	vned		
1 Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) or D	3 Amou	nt of Securities Owned	at End of Month	4 Ownership Form: Direct (D) or Indirect (I)	Nature of Indirect Beneficial     Ownership		
	(Month/Day/Year)	Amount	T (A) == (D)	Price	%	Number of Shares			
PREFERRED SERIES 2A	11/4/2019*		(A) or (D) <b>D</b>	P1,000.00		5,000			
				2					
					Y THE STREET	(13)c - 2:- 30			
* Preferred Shares Series 2A (PRF2A) were redeer	med by the								
Company at P1,000 per share on November 4, 2019.									
		Language -							

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding Capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

(Print or Type Responses)

Derivative Security	2. Conversion or Exercise Price of Derivative Security	3 Transaction Date (Month/Day/Yr)	Number of Deriva     Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)	6. Title and Underlying		7. Price of Derivative Security	8. No of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership	
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	1
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Explanation	of Responses:

Note: File three (3) copies of this form, one of which must be manually signed Attach additional sheets if space provided is insufficient. Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

Signature of Reporting Person)

ALBERTITO S. SARTE



11252019001496



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

# Barcode Page

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Receiving Branch : SEC Head Office

Receipt Date and Time: November 25, 2019 03:07:47 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No.

Company Name PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

0000031171

# **Document Information**

Document ID 111252019001496

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

November 04, 2019

No. of Days Late

0

Department

4

CFD

Remarks

REPORTING PERSON

CRUZ, JOEL ANGELO CASTILLO

# COVERSHEET

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#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and Address of Reporting Person  CRUZ, JOEL ANGELO CASTILLO	2. Issuer Name and Tr	ORPORATION (	(PCOR)		7. Relationship	of Reporting Person to Issue	(Check all applicable)	
Unit 2017 Tower C, The Grove by Rockwell 117 E. Rodriguez Ave.	3. Tax Identification  Number  120-128-161	Ĭ	5. Statement for Month/Year November	er 2019	<u>x</u>	Officer (give title below)		Other (specify below)
(Street) Ugong, Pasig City	4. Citizenship Filipino		6 If Amendment Original (Monti		Vice Pre	sident, General (	Counsel & Corpo	orate Secretary
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Be	neficially Owned		
1 Class of Equity Security	100 100 (000 (000 (000 (000 (000 (000 (	4 Securities Acquired (A) or PETRON COR		N	3. Amount of Month %	Securities Owned at End of	4 Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
	(Monar Day / Cary	Amount	(A) or (D)	Price		Heliber of Glidres		
PREFERRED SERIES 2A	11/4/2019*	P400,000.00	D	P1,000.00		400	ctor  fficer  ve title below)  General Counsel & Corpo  med  med at End of 4 Ownership Form:  Direct (D) or Indirect (I) *	
* Preferred Shares Series 2A (PRF2A) were redee Company at P1,000 per share on November 4, 2019								

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

. Derivative Security	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Deriva     Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)		6: Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# It Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### It and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# It Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person:
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

(Signature of Reporting Person)

JOEL ANGELO C. CRUZ



111252019001504



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Receiving Branch : SEC Head Office

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Company Representative

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Company Information

SEC Registration No. 0000031171

Company Name

PETRON CORP.

Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

### **Document Information**

Document ID 111252019001504

Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

November 04, 2019

No. of Days Late

0

Department

CFD

Remarks

REPORTING PERSON

GERONIMO, MYRNA CORRE

# COVERSHEET

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	rading Symbol			7. Relationship	of Reporting Person to Issu	er	
GERONIMO, MYRNA CORRE	PETRON C	ORPORATION (	PCOR)				(Check all applicable)	
(Last) (First) (Middle)	Tax Identification		5. Statement for			Director		10% Owner
49 Hyacinth Street, Las Piñas Royale Estates	Number		Month/Year		<u>X</u>	Officer		Other
Pulang Lupa	120-128-72	4	Novemb	er 2019		(give title below	)	(specify below)
(Street)	4. Citizenship		If Amendment Original (Mont)		Assistan	t Vice Presiden	t and Controller	
Las Piñas City	Filipino		Original (North					
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Be	neficially Owned		
Class of Equity Security	2. Transaction	4. Securities Acquired (A) or	Disposed of (D)		3. Amount of	Securities Owned at End	of 4 Ownership Form:	6. Nature of Indirect Beneficial
and the second of the second o	Date	PETRON CORE	PORATIO	V	Month		Direct (D) or Indirect (I) *	Ownership
	(Month/Day/Year)				%	Number of Shares		
		Amount	(A) or (D)	Price				
PREFERRED SERIES 2A	11/4/2019*	P1,000,000.00	D	P1,000.00		1,000		
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* Preferred Shares Series 2A (PRF2A) were redeemed	ad by the							

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# FORM 23-B (continued)

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned {e.g., warrants, options, convertible securities}

Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)			5 Date Exercisable and Expiration Date (Month/Day/Year)				7. Price of Derivative Security	8, No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	
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		California									
	Exercise Price of Derivative	Exercise Price Date of Derivative (Month/Day/Yr)	Exercise Price of Derivative (Month/Day/Yr) Security Date Acquired (A) or D	Exercise Price of Derivative (Month/Day/Yr) Security  Date Acquired (A) or Disposed of (D)	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D) Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D) Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Date (Month/Day/Yr)  Date (Month/Day/Year)  Acquired (A) or Disposed of (D)  Exercisable and  Expiration Date (Month/Day/Year)  Date Exercisable   Expiration	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Underlying Securities  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date Title Number	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Armount (A) or (D)  Exercisable and Expiration Date (Month/Day/Year)  Underlying Securities  Derivative Security  Derivative Security	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Derivative Securities  Derivative Securities  Security  Derivative Securities  Security  Date Exercisable and Expiration Date (Month/Day/Year)  Date Title Number	Exercise Price of Date of Derivative Security  Date (Month/Day/Yr)  Security  Date (Month/Day/Yr)  Amount (A) or (D)  Exercisable and Expiration Date (Month/Day/Year)  Exercisable and Expiration Date (Month/Day/Year)  Derivative Security  Derivative Security  Security  Derivative Security  Security  Derivative Security  Security  Derivative Security  Security  Derivative Security  Derivative Security  Security  Derivative Security

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Data

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

By: (Signature of Reporting Person)

MYRNA C. GERONIMO





# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, PhilippinesTel:(632)726-0931to39Fax:(632)725-5293Email:mis@sec.gov.ph

# **Barcode Page**

The following document has been received:

Receiving Officer/Encoder : Mark Jason L. Orcine - COS

Receiving Branch : SEC Head Office

Receipt Date and Time: November 25, 2019 03:10:49 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No.

0000031171 PETRON CORP.

Company Name Industry Classification

Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type

Stock Corporation

# **Document Information**

Document ID

111252019001507

**Document Type** 

Statement of Changes in the Beneficial Ownership

**Document Code** 

Period Covered

November 04, 2019

No. of Days Late

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Department

CFD

Remarks

REPORTING PERSON

VENTIGAN, NOEL SORIANO

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### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person     VENTIGAN, NOEL SORIANO	2. Issuer Name and T	rading Symbol ORPORATION (	PCOR)		7. Relationship	of Reporting Person to Issu	(Check all applicable)	
(Cast) (First) (Middle) 51 Signorelli St., BF Resort Village	3. Tax Identification Number 120-134-086		5. Statement for Month/Year Novemb	er 2019	<u>_x</u>	Director Officer (give title below		Other (specify below)
(Street) Las Piñas City	4. Citizenship		If Amendment Original (Monti		Assistan Manufac		, Metro Manila C	perations and
(City) (Province) (Postal Code)				Table 1 - Equ	ity Securities B	eneficially Owned		
Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) or PETRON CORF		N	3. Amount of s	Securities Owned at End of Number of Shares	of 4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	76	Number of Shares		
PREFERRED SERIES 2A	11/4/2019*	P1,000,000.00	D	P1,000.00		1,000		Held by spouse & through a broker
* Preferred Shares Series 2A (PRF2A) were redeer	ned by the							

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Deriva Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	
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Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item 1. Security and Issuer

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- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

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State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

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- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
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- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

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a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

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- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

By: (Signature of Reporting Person)

**NOEL S. VENTIGAN** 



11252019001514



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

# **Barcode Page**

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. 0000031171

Company Name PETRON CORP.

Industry Classification Mfg. Ofgas; Distribution Ofgaseous Fuels Through Mains

Company Type Stock Corporation

# **Document Information**

Document ID 111252019001514

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23E

Period Covered November 04, 2019

No. of Days Late 0
Department CFD

Remarks REPORTING PERSON

CARRILLO, TERELU ORTIZ

# COVERSHEET

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	Issuer Name and T	rading Symbol			7. Relationship	of Reporting Person to Issu	ier	
CARRILLO, TERELU ORTIZ	PETRON C	ORPORATION (	PCOR)				(Check all applicable)	
(Last) (First) (Middle)	3. Tax Identification		5. Statement for			Director		10% Owner
Blk 5, Lot 6, Gardenia St. PH2 C Greenwoods Village	Number 120-129-824	4	Month/Year Novemb	er 2019	_ <u>X</u>	Officer (give title below	)	Other (specify below)
(Street)	4. Citizenship		6. If Amendmen		Assistan	t Vice President	t, Petron Singapo	ore
(Gilvey)	4. Olizonship		Original (Mont				,	11171
Cainta, Rizal	Filipino							
(City) (Province) (Postal Code)			I.	Table 1 - Equity	y Securities Be	neficially Owned		
Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) or PETRON COR	and the second second	N	3. Amount of Month	Securities Owned at End	of 4 Ownership Form: Direct (D) or Indirect (I) *	Nature of Indirect Beneficial     Ownership
	(Month/Day/Year)	Amount	(A) or (D)	Price	%	Number of Shares		
PREFERRED SERIES 2A	11/4/2019*	P200,000.00	D	P1,000.00		200	1	
			V-117-11-1-					
		<u> </u>		-				
* Preferred Shares Series 2A (PRF2A) were rede	emed by the							
Company at P1,000 per share on November 4, 201	9.							•

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
     (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

. Derivative Security	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Deriva Acquired (A) or D		5 Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
			12.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.1.									

Explanation of Responses:

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name:
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

(Signature of Reporting Person)

TERELU O. CARRILLO



11252019001524



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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SEC Registration No. 0000031171

Company Name

PETRON CORP.

Industry Classification

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Company Type

Stock Corporation

### **Document Information**

Document ID

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Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

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Department

CFD

Remarks

REPORTING PERSON

JAVIER-ELACIO, JHOANNA JASMINE MAKALINAO

# COVERSHEET

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# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

	FORM	23-B
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Check box if no longer subject to filing requirement

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2. Issuer Name and T	174.75	(DOOD)		7. Relationship	of Reporting Person to Issue			
JAVIER-ELACIO, JHOANNA JASMINE MAKALINAO	PETRON C	ORPORATION	(PCOR)				(Check all applicable)		
(Last) (First) (Middle)	3. Tax Identification		5. Statement for	200	<u></u>	Director		2 60	J% Owner
37 Co∎t St., Rancho Estate I, Concepcion	Number 909-183-554	4	November November	er 2019		Officer (give title below	w)		Other (specify below)
(Street)	4. Citizenship		6. If Amendment Original (Month		Assistar	nt Corporate Sec	cretary		
Mariki na City	Filipino								
(City) (Province) (Postal Code)				Table 1 - Equity	Securities Be	eneficially Owned			
Class of Equity Security	2. Transaction Date	4. Securities Acquired (A) o PETRON COR		N	Amount of Month	Securities Owned at End	of 4 Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indire Ownership	ect Beneficial
	(Month/Day/Year)	Amount	(A) or (D)	Price	%	Number of Shares			
PREFERRED SERIES 2A	11/4/2019*		D	P1,000.00		150	I		
								10. E-14	
ii ii									
* Preferred Shares Series 2A (PRF2A) were redeeme	d but the								

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;

Company at P1,000 per share on November 4, 2019.

- (C) held by a corporation of which such person is a controlling shareholder, or
- (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

(Print or Type Responses)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Derivative Security	Conversion or     Exercise Price     of Derivative     Security	Transaction     Date (Month/Day/Yr)	Number of Deriva Acquired (A) or D		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Underlying		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security; Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	
						<u></u>						

Explanation of Responses

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
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- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

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a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
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Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

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- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Mandaluyong on November 4, 2019.

(Signature of Reporting Person)

JHOANNA JASMINE M. JAVIER-ELACIO